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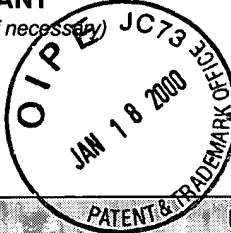
Page 1 of 1

JAN 21 2000

Form PTO-1449

**LIST OF PRIOR ART CITED  
BY APPLICANT**

(Use several sheets if necessary)



ATTORNEY DOCKET NO.  
99-038-TAP

Group 2700

SERIAL NO.  
09/371,588

APPLICANT **Smith**

FILING DATE **August 10, 1999**

GROUP ART UNIT ~~2753~~  
2651

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
AA	5121371	Jun 9, 1992	Farnsworth et al.	369/44.26	Jun 18, 1990

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

**OTHER PRIOR ART** (including author, title, date, pertinent page, etc.)

AB	Erlich, Adam; Micro-Optical Integration Spurs Mass Production; Laser Focus World; March 1998; pp. 77-81
AC	Farnsworth, Stephen W., et al.; Diffractive Optical System For Tracking on Floptical Disks; SPIE Vol 1690 Design of Optical Instruments 1992; pp. 72-79
AD	Integrated Optical Tracking System for Magnetic Media; Atty. Docket no. 98-041-TAP; Filed with USPTO on December 2, 1998
AE	Optical Servo System for A Tape Drive; Atty. Docket No. 97-002-TAP; Filed with USPTO on December 1, 1998

DATE CONSIDERED

4/30/02

EXAMINER

Andrew S. Long

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date Mailed:

Sheet 1 of 1



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FORM 1449\*

## INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

99-038-TAP

Application Number

09/371,588

Applicant: Smith

Filing Date: 8/10/99

Group Art Unit:

FEB 20 2001

Technology Center 2600

2651

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SH	4,430,305	07/20/82	Smith et al.	356	356	
SH	4,945,529	07/31/90	Ono et al.	369	109	
SH	5,652,744	07/29/97	Freeman et al.	369	109	
SH	5,729,519	03/17/98	Nakanishi et al.	369	109	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
SH	0311 859	19 Apr 1989	EP				
SH	344 759	6 Dec 1989	EP				
SH	895 239	3 Feb 1999	EP				
SH	99 28909	10 June 1999	WO				
SH	199 13 382	14 Oct 1999	DE				X

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

Andrew L. Boyd

DATE CONSIDERED

4/30/02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.